

Docket No. 250933US2S

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Masaru KITO et al.

GAU: 2814

SERIAL NO: 10/806,398

ALLOWED: October 6, 2005

FILED: March 23, 2004

EXAMINER: DOAN, T. T.

FOR: SEMICONDUCTOR MEMORY DEVICE AND METHOD OF MANUFACTURING THE SAME

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

FAX RECEIVED

DEC 22 2005

SIR,

Applicant(s) wish to disclose the following information.

OFFICE OF PETITIONS

## REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449, which were cited in the attached Japanese Office Action. An English translation of the Japanese Office Action and copies of the listed references are attached.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

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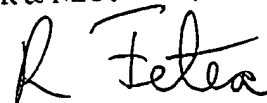
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 250933US2S		SERIAL NO. 10/806,398			
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Masaru KITO et al.					
				ALLOWED DATE October 6, 2005		FILING DATE March 23, 2004		GROUP 2814	
U.S. PATENT DOCUMENTS									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	AA								
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
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	AL								
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	AN								
FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO				
	AO	11-26707	01/29/1999	JAPAN			X		
	AP	2002-118240	04/19/2002	JAPAN			X		
	AQ								
	AR								
	AS								
	AT								
	AU								
	AV								
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)									
	AW								
	AX								
	AY								
	AZ								
					<input type="checkbox"/> Additional References sheet(s) attached				
					Date Considered				
Examiner									
*Examiner. Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									